



THOMPSON

NOTICE REGARDING THE USE AND SECURITY OF PERSONAL NON-PUBLIC INFORMATION

Privacy Notice to Our Customers

Thompson Investment Management, Inc. and Thompson Plumb Funds, Inc. strongly believe in protecting the confidentiality and security of information we collect about you. This notice describes our privacy policy and how we treat the information we receive about you.

We do not sell client information to anyone.

Why We Collect and How We Use Information

When we evaluate your request for our services, provide investment advice to you and process transactions for your account, or when you receive other services from Thompson Investment Management, Inc. or Thompson Plumb Funds, Inc., you typically provide us with certain personal information necessary for us to provide advice and process transactions. We may also use that information to offer you other services we provide which may meet your needs.

What Information We Collect

The personal information we collect may include:

- Name and address
- Social security or taxpayer identification number
- Driver's license copy
- Assets
- Income
- Account balance
- Investment objectives and activity
- Accounts at other institutions
- Email address

We collect personal information on our website only when you voluntarily provide it to us.

How We Use and Protect Personal Non-Public Information

We treat information about current and former clients and their accounts in a confidential manner. Our employees may access information and provide it to third parties only when completing a transaction at your request or providing our services to you. We may share information with companies that perform services on our behalf, such as the companies that print and distribute our mailings or companies that we hire to perform transaction clearance, marketing or administrative services. We may disclose, with your consent, information to attorneys, accountants, lawyers, securities professionals and others to assist us, or them, in providing services to you. We may make additional disclosures as required or permitted by law. Companies we may hire to provide support services are not allowed to use your personal information for their own purposes.

We maintain policies and procedures to safeguard your non-public personal information. Access is restricted to employees who we determine need the information in order to perform their job duties. To guard your non-public personal information we maintain physical, electronic and procedural safeguards.

If you own shares of the Thompson Plumb Funds through a financial intermediary including, but not limited to, your broker-dealer, bank or trust company, you should review each financial intermediary's privacy policy to learn about its policies on selling or sharing your non-public information with non-affiliated third parties.

Access to and Correction of Information

Generally, upon your written request, we will make information available for your review. Information collected in connection with, or in anticipation of, any claim or legal proceeding will not be made available. If your personal information with us becomes inaccurate, or if you need to make a change to that information, please contact us at the number shown below so we can update our records.

Further Information

For additional information regarding our privacy policy, please contact us by writing to Thompson Investment Management, Inc., 918 Deming Way, 3rd Floor, Madison, Wisconsin 53717, or calling us at 608.827.5700 or toll-free at 1-877-886-5777.